



Attorney Docket No. 04860.P2592

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Andrew Gong, et al.

Application No. 09/905,632

Filed: July 13, 2001

For: METHODS AND APPARATUSES
USING CONTROL INDICATORS
FOR DATA PROCESSING SYSTEMS

Examiner: Not yet assigned

Art Unit: 2674

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STATEMENT UNDER 37 C.F.R. §1.97(e)

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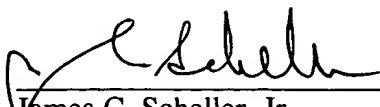
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- X That each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.
- That no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing the Information Disclosure Statement.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 5/13, 2004


James C. Scheller, Jr.
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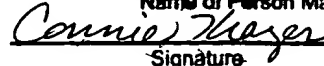
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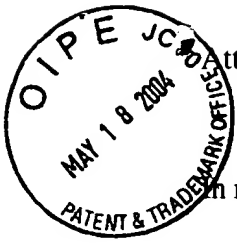
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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_____ 37 C.F.R. §1.97(b).

X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

X A statement pursuant to 37 C.F.R. §1.97(e) or

 A check for \$ for the fee under 37 C.F.R. § 1.17(p).

 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

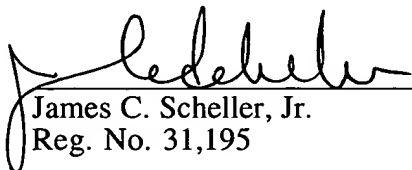
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$ for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 5/13, 2004


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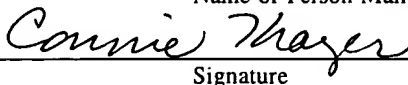
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| Application Number | | 09/905,632 | | | |
| Filing Date | | 07/13/2001 | | | |
| First Named Inventor: | | Andrew Gong, et al. | | | |
| Art Unit | | 2674 | | | |
| Examiner Name | | Not yet assigned | | | |
| Attorney Docket No. | | 04860.P2592 | | | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|--|---|
| Exmnr Initials* | Cite No. ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number | Kind Code ² (if known) | | | |
| | | us- 5,530,879 | B1 | 06/25/1996 | Crump et al. | |
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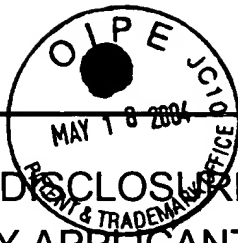
| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|--------------------------|---------------------------|---------------------|--------------------------------------|-----------------------------------|--|--|----------------|
| Exmnr Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | | EP 0 623 869 | A1 | | 11/09/1994 | Compaq Computer Corporation | | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| | | | Application Number | 09/905,632 | |
| | | | Filing Date | 07/13/2001 | |
| | | | First Named Inventor: | Andrew Gong, et al. | |
| | | | Art Unit | 2674 | |
| | | | Examiner Name | Not yet assigned | |
| Sheet | 2 | of | 2 | Attorney Docket No. | 04860.P2592 |
| NON PATENT LITERATURE DOCUMENTS | | | | | |
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | | | T ² |
| | | PCT Invitation to Pay Additional Fees for PCT International Appln No. US02/20692, mailed 2 April 2004 (5 pages). | | | |
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